



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

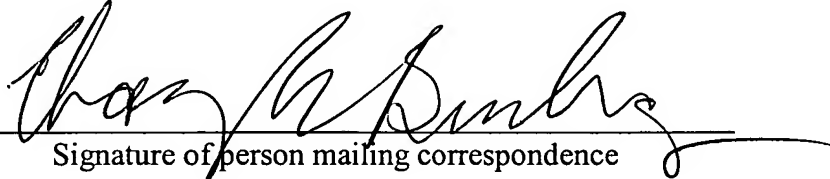
In re )  
Application Serial No.: 10/761,562 )  
Inventor: BOYLAND, Thomas et al. ) Mail Stop: Information Disclosure  
Filed: January 21, 2004 ) Statement  
For: SYSTEM AND METHOD FOR AUTOMATED ADMISSIONS  
PROCESS MANAGEMENT

**Certificate under 37 CFR 1.10 of Mailing**

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PTO/SB/21 (02-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/761562
Filing Date	1/21/2004
First Named Inventor	Boyland, Thomas
Art Unit	
Examiner Name	
Attorney Docket Number	018381.0004

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>copy of non-patent document<br>return postcard |
|--|--|---|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name

Thomas F. Bergert

Signature

Date

April 27, 2004

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

BOYLAND, Thomas et al.

Serial No.: 10/761,562

Group Art Unit: Not Yet Assigned

Filed: January 21, 2004

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR  
AUTOMATED ADMISSIONS  
PROCESS MANAGEMENT

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Mail Stop Information Disclosure Statement  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 C.F.R. 1.97(b), or  
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 C.F.R. 1.97(c) together with either a:  
☐ Statement Under 37 C.F.R. 1.97(e), or  
☐ a \$240.00 fee under 37 C.F.R. 1.17(p), or  
(After the C.F.R. 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 C.F.R. 1.97(d) together with a:  
☐ Statement under 37 C.F.R. 1.97(e), and  
☐ a petition under 37 C.F.R. 1.97(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 C.F.R. 1.17(i)(l),  
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ Applicant(s) submit herewith *Form PTO 1449 – Information Disclosure Citation* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to

disclose in accordance with 37 C.F.R. 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided; however, copies of patent documents are not provided given the waiver of this requirement for applications filed after June 30, 2003.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 C.F.R. 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

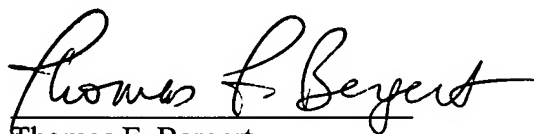
This statement should not be construed as a representation that an exhaustive search has been made, or the information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully submitted,

**WILLIAMS MULLEN, PC**

By:

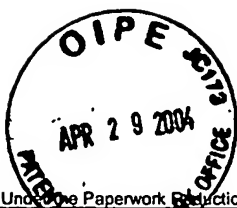


Thomas F. Bergert

Registration No. 38,076

Date: April 27, 2004

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/761,562
Filing Date	January 21, 2004
First Named Inventor	BOYLAND, Thomas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	018381.0004

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,040,142	08/13/1991	Mori et al.	
		US- 5,410,646	04/25/1995	Tondevoid et al.	
		US- 6,345,278	02/05/2002	Hitchcock et al.	
		US- 6,460,042	10/01/2002	Hitchcock et al.	
		US- 2002/0059137	05/16/2002	Freeman et al.	
		US- 2002/0095385	07/18/2002	McAvoy et al.	
		US- 2002/0120628	08/29/2002	Hitchcock et al.	
		US- 2003/0056171	03/20/2003	Yone et al.	
		US- 2003/0093346	05/15/2003	Weber	
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**FOREIGN PATENT DOCUMENTS**

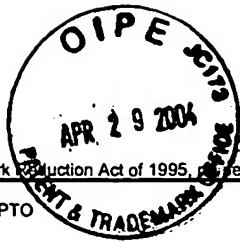
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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First Named Inventor	BOYLAND, Thomas
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	018381.0004

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Free Application for Federal Student Aid", <a href="http://www.fafsa.ed.gov">http://www.fafsa.ed.gov</a> . (current site).	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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